## IAP6 Rec'd PCT/PTO 07 DEC 2005

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A FILING UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER 2003P08356WOUS

INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE **JUNE 10, 2003 JUNE 9, 2004** PCT/EP2004/006264 TITLE OF INVENTION METHOD AND DEVICE FOR DETERMINING THE CAUSES OF FAILURES IN INDUSTRIAL PROCESSES

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APPLICANT(S) FOR DO/EO/US STEFAN HAAKS, GERD MICHAELIS, CHRISTIAN-MARIUS WEGNER Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 2. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). X A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. ٠b is not required, as the application was filed in the United States Receiving Office (RQ/US). X An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). h Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT 10 Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: X An Information Disclosure Statement under 37 CFR 1.97 and 1.98. X An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. X A preliminary amendment. 13. An Application Data Sheet under 37 CFR 1.76. X A substitute specification. 15. 16. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 17. 18. A second copy of the published International Application 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). Other items or information: (a) RETURN RECEIPT POSTCARD; (b) A POWER OF ATTORNEY IS INCLUDED IN DECLARATION; 20.

(e) INTERNATIONAL SEARCH REPORT; (f) WRITTEN OPINION OF THE INTERNATIONAL SEARCH AUTHORITY IN GERMAN AND ENGLISH This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, Including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

(c) MARKED-UP COPY OF SUBSTITUTE SPECIFICATION; (d) COVER PAGE OF PCT APPLICATION PCT/EP2004/006264

## IAP9 Rec'd PCT/PTO 07 DEC 2005

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U.S. APPLICATION NO. (kg) 1/s 53 5 F9 18 6 5 INTERNATIONAL APPLICATION NO. PCT/EP2004/006264						ATTORNEY'S DOCKET NUMBER 2003P08356WOUS			
The following fees are submitted:						·C	ALCULATIONS	# PTO USE ONLY	
21. X Basic national fee\$ 300						\$	300.00	× .	
22. X Examination fee  If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) \$ 100  All other situations \$ 200							200.00		
23. X Search fee  Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority							400.00		
TOTAL OF 21, 22 AND 23 =							900.00		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding									
sequence listing or computer program listing filed in an electronic medium).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.									
Total Sheets	Extra sheets		mber of each additional 50 or fractional formula in the fraction of the fracti		RATE				
- 100 =	/50 =				X \$250				
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest						† <del>.                                    </del>		<del></del>	
claimed priority date (37 CFR 1.492(h)).				RATE	\$	· · · · · · · · · · · · · · · · · · ·	J <del> </del>		
CLAIMS Total Claims	NUMBER FILED NUMBER EXTRA  18 - 20 =		x \$ 50	\$	<del></del>				
Independent claims				x \$200		s			
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MULTIPLE DEPENDENT CLAIMS(S) (if applicable) + \$ 360  TOTAL OF ABOVE CALCULATIONS =						<del>                                     </del>			
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.									
SUBTOTAL =						\$ 900.00			
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).						\$			
TOTAL NATIONAL FEE =						\$			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +							\$		
TOTAL FEES ENCLOSED =						\$	900.00	· · · · · · · · · · · · · · · · · · ·	
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a. A check in the amount of \$ to cover the above fees is enclosed.									
b. X Please charge my Deposit Account No. 19-2179 in the amount of \$ 900.00 to cover the above fees.  A duplicate copy of this sheet is enclosed.									
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d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.									
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) roust be filed and granted to restore the International Application to pending status.									
SEND ALL CORRESPONDENCE TO: Siemens Corporation Intellectual Property Department							SIGNATURE	m	
170 Wood Avenue South Iselin, NJ 08830 DAI 732-321-3026							NAME DATE		
							6170		
·							34,733 REGISTRATION NUMBER		